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1 **27** **Phenotypic and genotypic characterization of ESBL *Enterobacteriaceae* clinical isolates**
2 **in a Moroccan hospital**

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30 **Abstract**

31 ¹ Extended-spectrum beta-lactamase-producing *Enterobacteriaceae* (ESBL-E) ¹ are a major
32 public health problem in hospitals and in the community. The objective of this work was to
33 describe the epidemiology of ESBL *enterobacteria*, to study their resistance profile and to
34 determine the genes encoding the ESBL phenotype.

35 This is a retrospective study conducted in the bacteriology laboratory of the Military Hospital
36 of Instruction Mohamed V of Rabat, and covering all isolates of *Enterobacteriaceae* from
37 ¹ 01/01/2018 to 31/12/2020. The molecular study of ESBL genes involved a representative
38 sample of all ESBL isolates.

39 The overall prevalence of ESBLs in isolated *Enterobacteriaceae* (1402/10268) is 13.65%. The
40 urinary tract was the main site of isolation of ESBL (61%). The bacterial species most
41 concerned are essentially *Escherichia coli* (41,9%), *Klebsiella pneumoniae* (42,2%) and
42 *Enterobacter cloacae* (11,9%). The study of antibiotic susceptibility showed a resistant profile
43 marked mainly by ¹ 100% resistance to C1G and C3G, 55% to piperacillin-tazobactam, 16% to
44 imipenem, 87% to fluoroquinolones. Molecular typing of ESBL strains showed a prevalence of
45 ³¹ CTX-M (95%), SHV (50%) and TEM (56%). The CTX-M-1 and the CTX-M-9 groups were
46 the most common (96,19% and 7,62 % respectively), and CTX-M15 was found in 78,10%
47 ¹ CTX-M-1 ESBL positive isolates. Most strains had more than two coexisting resistance genes.
48 The prevalence rate of ESBL-E is critical, and preventive action at different levels (prescriber,
49 biologist, hospital, patient, etc.) is necessary in order to limit their spread and to manage a better
50 therapeutic strategy.

51 **Keywords**

52 ESBL, Prevalence, Antimicrobial resistance, multi-drug resistant bacteria, Phenotypic and
53 genotypic characterization.

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60 ²¹ **DATA summary**

61 No data were reused or generated for this study

62 **Background**

63 Antibiotic resistance in pathogens ¹¹ has become a global problem with serious consequences for
64 the treatment of infectious diseases. The increased use/misuse of antibiotics in human medicine,
65 agriculture and even in veterinary medicine is a major contributor to the phenomenon [1].

66 *Enterobacteriaceae* is a large group of gram-negative bacteria widely found in nature and in
67 the human digestive tract. They are generally represented by *Klebsiella pneumonia*, *Escherichia*
68 *coli*, *Enterobacter cloacae* which are responsible for many infections (urinary, pulmonary,
69 septicemia ...) of varying severity. In hospitals, *Enterobacteriaceae* are responsible for more
70 than 30% of the morbidity and mortality associated with bacterial infections [2].

71 ¹⁶ A high rate of resistance to 3rd generation cephalosporins (3GC) among *Enterobacteriaceae*
72 isolates has been previously reported worldwide [3]. Resistance to β -lactam antimicrobials in
73 *Enterobacteriaceae* has been due to largely the presence of β -lactamase enzymes; an important
74 resistance mechanism that impedes antimicrobial treatment of infections [4]. ⁴³ Extended-
75 spectrum beta-lactamases (ESBLs) are one of the most frequent and widely spread enzyme
76 families that remains a global concern [5]. ³ Their increasing frequency, as well as their
77 continuous evolution, is directly related to the selection through the use of different β -
78 lactamines [6].

79 ³ The majority of ESBLs belong to Ambler's class A and include SHV or TEM types and CTX-
80 M types [6]. During the 1990s, TEM and SHV ESBL types primarily caused worldwide
81 nosocomial epidemics, but since 2000, the prevalence of CTX-M increased rapidly and is
82 presently the most common global ESBL among enteric bacteria [7]. ⁶⁴

83 ³ Currently, CTX-M type β -lactamases include more than 220 different enzymes grouped into
84 five subfamilies based on their amino acid identities: CTX-M-1, CTX-M-2, CTX-M-8, CTX-
85 M-9, and CTX-M-25 [8]. Enzymes that originate from the CTXM-1 and CTX-M-9 subfamilies
86 are widely distributed and commonly reported [6]. ¹⁰ Some CTX-M are specific of some countries
87 (such as CTX-M-9 and CTX-M-14 in Spain, CTX-M-1 in Italy, or CTX-M-2 in South America,
88 and Japan) [9] while CTX-M-15 is dominant in most regions worldwide as the emergence and
89 rapid worldwide dissemination have been reported [10]. At the Mediterranean level, ¹⁹ CTX-M-

90 15 is today the most frequent of CTX-M type ESBL, involved as well in community acquired
91 infections as in nosocomial infection [11] [12], and is responsible for various outbreaks [13].
92 The CTX-M-15 remains as well the ESBL gene most identified in Morocco [14] [15].

93 Therefore, the main objectives of our study are to determine the prevalence of extended
94 spectrum beta-lactamases *Enterobacteriaceae*, to establish their resistance profile to different
95 families of antibiotics and to determine the different genotypic profiles coding for extended
96 spectrum beta-lactamases.

97 **Materials and Methods**

98 **- Patients and bacterial isolates**

99 We conducted a retrospective study spread over a period of 3 years from 01/01/2018 to
100 31/12/2020, and focused on the totality of *Enterobacteriaceae* isolates from all patients
101 (inpatients and outpatients) whatever their sampling site or originating department. Diagnostic
102 samples were included.

103 Our study was carried out in the bacteriology laboratory of the Military Hospital of Instruction
104 Mohammed V, a 700-bed university hospital located in Rabat, Kingdom of Morocco.

105 Identification of bacterial isolates was based on cultural, morphological and biochemical
106 characteristics. Biochemical identification was performed using ready-to-use API20E strips
107 (bio-Mérieux SA, Marcy-l'Étoile / France).

108 Duplicates were excluded.

109 **- Susceptibility testing**

110 Antibiotic susceptibility was performed using the Mueller Hinton agar diffusion method using
111 OXOID® antibiotic discs and interpreted according to the EUCAST / CA-SFM 2020
112 recommendations. Quality control of the antibiotic susceptibility test was performed with the
113 *E. coli* strain ATCC 25922.

114 **- Phenotypic screening for ESBL**

115 The detection of extended-spectrum-β-lactamases (ESBLs) was performed by a phenotypic
116 method based on synergy detection between the amoxicillin-clavulanic acid disc and three
117 third-generation cephalosporin discs: cefotaxime, ceftazidime and cefepime.

118 A sample of 3rd generation cephalosporins-resistant ESBL strains of *Enterobacteria* was
119 studied to search for resistance genes.

120 - **PCR amplification for detection of β -lactamase genes**

121 A random representative sample of 110 *Enterobacteria* confirmed phenotypically ESBL
122 positive was screened for the resistance genes TEM, SHV and CTX-M. The PCR reactions
123 were carried out in 2 steps: a monoplex for the detection of CTX-M gene and a multiplex for
124 the detection of TEM and SHV genes using specific primers (table 1).

125 The thermal protocol used is as follows: an initial denaturation at 95°C for 1 min; followed by
126 35 cycles of 95°C for 15 s and 72°C for 10 s; with a final extension at 72°C for 10 min. During
127 the 35 cycles, the hybridization temperatures used were 52°C for the CTX-M monoplex and
128 58°C for the SHV TEM multiplex and the hybridization lasted 15 s per cycle.

129 - **Multiplex PCR for CTX-M phylogrouping**

130 CTX-M-positive isolates were further analyzed for CTX-M phylogroups (CTX-M-1; -2; -8; -
131 9; -25 and -15) by 2 multiplex PCR (multiplex 1 screening for CTX-M-1; -2; -9, and multiplex
132 2 for CTX-M-8; -25; -15). Primer pairs and predicted amplicon sizes were summarized in Table
133 2. The thermal protocol used was: an initial denaturation at 95°C for 1 min; followed by 35
134 cycles of 95°C for 15 s; 54°C for 15 s and 72°C for 10 s; with a final extension at 72°C for 10
135 min.

136 The amplified PCR products were subjected to electrophoresis at a 1% agarose gel in 0.5X TBE
137 buffer. The reading is done under UV light.

138 **Table 1: Nucleotide sequences of PCR primers used to amplify ESBL genes**

GENE	PRIMERS	SEQUENCE	AMPLICON SIZE (bp)	REFERENC ES
blaCTX-M	CTX-M-F	5'- CGC TTT GCG ATG TGC AG - 3'	551	[16] [17]
	CTX-M-R	5'- ACC GCG ATA TCG TTG GT - 3'		
blaTEM	TEM-F	5'- CAT TTC CGT GTC GCC CTT ATT C - 3'	800	[10]
	TEM-R	5'- CGT TCA TCC ATA GTT GCC TGA C - 3'		
blaSHV	SHV-F	5'- AGC CGC TTG AGC AAA TTA AAC - 3'	713	[10]
	SHV-R	5'- ATC CCG CAG ATA AAT CAC CAC - 3'		

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142 **Table 2: Nucleotide sequences of PCR primers used to amplify CTX-M phylogroups**

143

GENE	PRIMERS	SEQUENCE	AMPLICON SIZE (bp)	REFERENCES
<i>bla</i> CTX-M1	CTX-M1 F	5'-AAA AAT CAC TGC GCC AGT TC-3'	415	[16], [18]
	CTX-M1 R	5'-AGC TTA TTC ATC GCC ACG TT-3'		
<i>bla</i> CTX-M2	CTX-M2 F	5'-CGA CGC TAC CCC TGC TAT T-3'	552	[16], [18]
	CTX-M2 R	5'-CCA GCG TCA GAT TTT TCA GG-3'		
<i>bla</i> CTX-M8	CTX-M8 F	5'-TCG CGT TAA GCG GAT GAT GC-3'	688	[16], [18]
	CTX-M8 R	5'-AAC CCA CGA TGT GGG TAG C-3'		
<i>bla</i> CTX-M9	CTX-M9 F	5'-CAA AGA GAG TGC AAC GGA TG-3'	205	[16], [18]
	CTX-M9 R	5'-ATT GGA AAG CGT TCA TCA CC-3'		
<i>bla</i> CTX-M25	CTX-M25 F	5'-GCA CGA TGA CAT TCG GG-3'	347	[16], [18]
	CTX-M25 R	AC CCA CGA TGT GGG TAG C-3'		
<i>bla</i> CTX-M-15	CTX3 FLF	CGT CTC TTC CAG AAT AAG G-3'	924	[3]
	CTX3 FLR	5'-GTT TCC CCA TTC CGT TTC CGC-3'		

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145 **- Statistical analysis**

146 Data extraction was performed using the epidemiological model of the Adagio Biorad®
 147 antibiotic susceptibility testing system and the Laboratory Information System (LIS).

148 Statistical analysis was performed using Excel and SPSS version 25 software.

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150 **Results**

151 During the study period we collected 10268 *enterobacteria* of which 1402 isolates were
 152 confirmed as producers of extended-spectrum β -lactamase with a prevalence of 13.65%.

153 The predominance of ESBL *enterobacteria* infections was higher in men (57%) than in women
 154 (43%). The population's mean age was 54 years with extremes between 0 and 105 years.

155 ESBL *enterobacteria* isolates were mostly represented by *Klebsiella pneumonia* (42,2%) and
 156 *Escherichia coli* (41,9%), followed by *Enterobacter cloacae* (11,9%) and *Proteus mirabilis*
 157 (1,5%). The outpatients accounted for only 16.8% of ESBL cases. The remaining majority was
 158 distributed in the different hospital departments; 25,5% in medical department, 23,8% in
 159 emergency department, 18,1% in surgical department and 10,5% in reanimation (Figure 1).

160 In the current work, urine samples were the most incriminated samples (61,2%), pus samples
 161 were found in 15,4% of cases, blood culture samples in 6,6%, lung samples in 6,5% and swab
 162 samples in 4,1% as shown in figure 2.

163 All ESBL *enterobacteria* isolates exhibited resistance to ampicillin, 1st and 3rd generation

164 cephalosporins. While 88% were resistant to amoxicillin-clavulanic acid, 87% to
165 fluoroquinolones and 16% to imipenem.

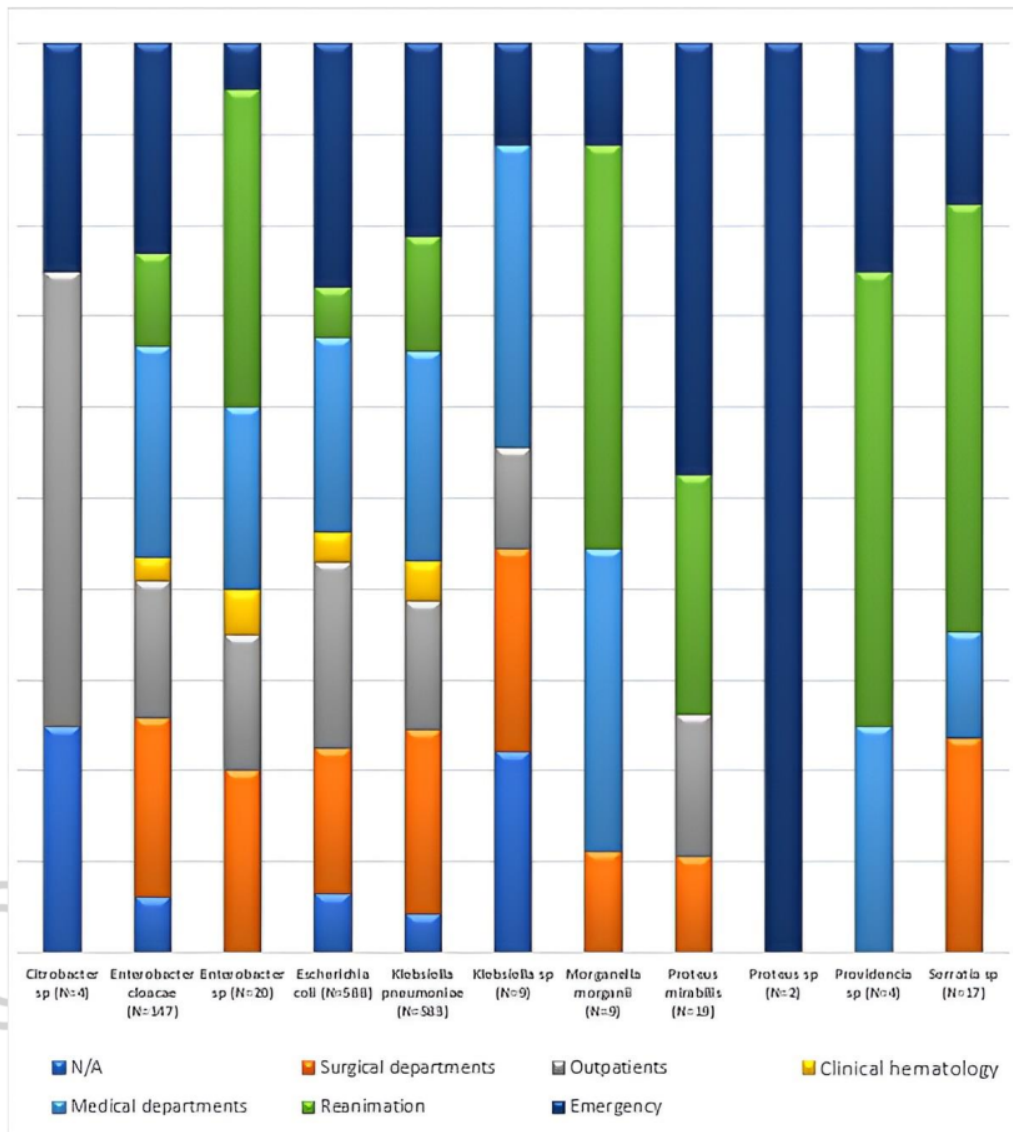
166 The rates of resistance of ESBL *enterobacteria* isolates to fosfomycin and mecillinam were 8%
167 and 16% respectively. 24 % of the isolates were resistant to ertapenem, 54% to gentamycin
168 while only 7% were resistant to amikacin (figure 3).

169 Molecular typing of ESBL-encoding genes was performed only on a random representative
170 sample. 110 isolates of phenotypically confirmed ESBL-producing *Enterobacteriaceae* were
171 selected. CTX-M-type ESBL was the most common; 95,45% of the isolates possessed CTX-M
172 gene, followed by TEM-type (56,36%) and SHV-type (50%).

173 37,27% of ESBL *Enterobacteriaceae* isolates (41/110) were positive for all 3 genes. In some
174 cases, we observed the coexistence of two types of ESBL genes: CTX-M/TEM (18,18%), CTX-
175 M/SHV (10,90%), SHV/TEM (0%). The production of a single gene concerned only 29% of
176 the cases for CTX-M-type, 1,8% for SHV-type and only 1 isolate for TEM-type (table 3).

177 The CTX-M-type ESBL positive isolates (105/110) were studied for the different subgroups
178 CTX-M-1, -2, -8, -9, -25 and 15. The CTX-M-1 group and the CTX-M-9 group were the most
179 common groups; 96,19% (101/105) of ESBL isolates harbored CTX-M-1 gene and 7,62%
180 (8/105) CTX-M-9 gene. The CTX-M-2 was positive for only one isolate and no CTX-M-8,
181 CTX-M-25 groups were detected in the isolates. CTX-M-15 was detected in 78,10% (82/105)
182 of cases. Most strains had more than two resistance genes (table 4).

183 All the ESBL genes were male-dominant, were essentially isolated in urine samples and
184 *Klebsiella pneumonia* and *Escherichia coli* were the dominant species. Demographic and
185 bacteriological characteristics of ESBL-E isolates are summarized in table 3 and 4.

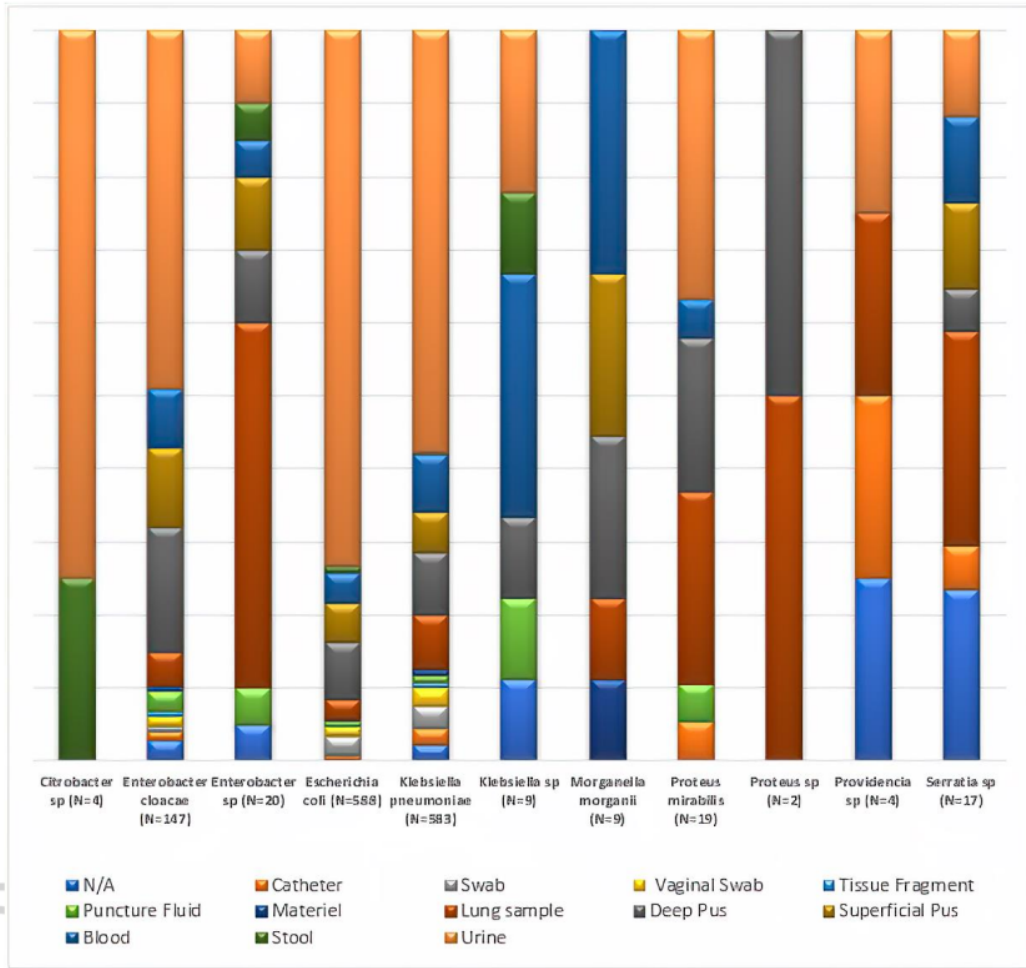


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Figure 1: ESBL-E isolates distribution by department (n=1402).

188 *This figure shows that each department has its own bacterial epidemiology. Klebsiella*
 189 *pneumonia* *is most prevalent in the medical, surgical and intensive care departments (hospital*
 190 *setting), while Escherichia coli is most isolated in outpatients and emergency departments*
 191 *(community setting).*



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Figure 2: ESBL-E isolates distribution by sample (n=1402).

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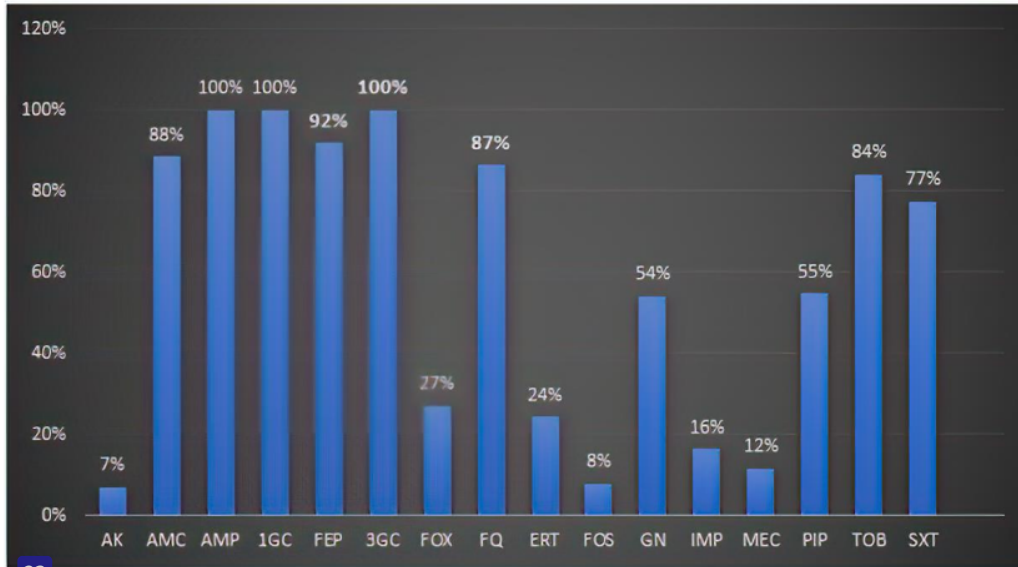
This figure highlights the urinary tract as the most affected site, especially for Escherichia coli.

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Septicemia was marked by the presence of Klebsiella pneumonia alongside nosocomial germs

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(Morganella, Serratia).



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AK: amikacin, AMC: Amoxicillin + clavulanic acid, AMP: Ampicillin, 1GC: 1st generation cephalosporin, FEP: Cefepim, 3GC: 3rd generation cephalosporin, FOX: Cefoxitin, FQ: fluoroqionolone, ERT: Ertapenem, FOS: Fosfomycin, GN: Gentamicin, IMP: Imipenem, MEC: Mecillinam, PIP: Piperacillin + tazobactam, TOB: Tobramycin, SXT: Trimethoprim / sulfamethoxazole.

Figure 3: Resistance profile of ESBL enterobacteria isolates to antibiotics (n=1402).

Table 3: Demographic and bacteriological data of ESBL-E isolates and CTX-M, TEM**and SHV isolates**

	All EBLSE	EBLSE CTX-M	EBLSE SHV	EBLSE TEM
N (%)	1402/10268 (13,65%)	105/110 (95,45%)	55/110 (50%)	62/110 (56,36%)
Mean age	54	60,30	57,37	59,02
Sexe	♂ : 57% ♀ : 43%	♂ : 56% ♀ : 44%	♂ : 63% ♀ : 37%	♂ : 54% ♀ : 46%
25 Germs				
<i>Klebsiella pneumoniae</i>	N = 592 (42,2%)	N = 51 (48,57%)	N = 46 (83,63%)	N = 39 (62,9%)
<i>Escherichia coli</i>	N = 588 (41,9%)	N = 45 (42,85%)	N = 5 (9,09%)	N = 19 (30,64%)
<i>Enterobacter cloacae</i>	N = 167 (11,9%)	N = 8 (7,61%)	N = 4 (4,5%)	N = 4 (4,5%)
<i>Proteus mirabilis</i>	N = 21 (1,5%)	N = 1 (4,5%)	N = 0	N = 0
<i>Serratia sp</i>	N = 17 (1,2%)	N = 0	N = 0	N = 0
<i>Morganella morganii</i>	N = 9 (0,6%)	N = 0	N = 0	N = 0
<i>Providencia sp</i>	N = 4 (0,3%)	N = 0	N = 0	N = 0
<i>Citrobacter sp</i>	N = 4 (0,3%)	N = 0	N = 0	N = 0
Sample				
Urine	N = 858 (61,2%)	N = 77 (73,33%)	N = 40 (72,73%)	N = 45 (72,58%)
Pus	N = 216 (15,4%)	N = 13 (12,38%)	N = 7 (12,73%)	N = 8 (12,9%)
Blood	N = 92 (6,6%)	N = 5 (4,76%)	N = 4 (7,27%)	N = 3 (4,84%)
Pulmonary	N = 91 (6,5%)	N = 4 (3,81%)	N = 1 (1,82%)	N = 2 (3,23%)
Swab	N = 57 (4,1%)	N = 1 (0,95%)	N = 1 (1,82%)	N = 1 (1,61%)
Other	N = 25 (1,8%)	N = 0	N = 0	N = 0
Catheter	N = 22 (1,6%)	N = 1 (0,95%)	N = 1 (4,2%)	N = 1 (1,61%)
Puncture fluid	N = 17 (1,2%)	N = 1 (0,95%)	N = 0	N = 1 (1,61%)
Feces	N = 10 (0,7%)	N = 1 (0,95%)	N = 0	N = 0
Materiel	N = 8 (0,6%)	N = 2 (1,90%)	N = 1 (1,82%)	N = 1 (1,61%)
Biopsy	N = 6 (0,4%)	N = 0	N = 0	N = 0
Origin				
Chirurgical	N = 254 (18,1%)	N = 24 (22,86%)	N = 12 (21,82%)	N = 10 (16,13%)
Outpatients	N = 235 (16,8%)	N = 17 (16,19%)	N = 6 (10,91%)	N = 7 (11,29%)
Medical	N = 357 (25,5%)	N = 23 (21,90%)	N = 18 (32,73%)	N = 14 (22,58%)
Reanimation	N = 147 (10,5%)	N = 7 (6,67%)	N = 4 (7,27%)	N = 6 (9,68%)
Emergency	N = 334 (23,8%)	N = 25 (23,81%)	N = 12 (21,28%)	N = 18 (29,03%)
Not assigned	N = 75 (5,3%)	N = 9 (8,57%)	N = 3 (5,45%)	N = 7 (11,29%)

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Table 4: Demographic and bacteriological data of ESBL-E CTX-M subgroup genes isolates

	EBLSE CTX-M1	EBLSE CTX-M2	EBLSE CTX-M8	EBLSE CTX-M9	EBLSE CTX-M25	EBLSE CTX-M15
N (%)	N = 101/105 (96,19%)	N = 1/105 (0,95%)	N = 0/105	N = 8/105 (7,62%)	N = 0/105	N = 82/105 (78,10%)
Mean age	60,91	33,00	-	56,00	-	61,89
Sex	♂ : 54,45% ♀ : 45,55%	♂ : 100% ♀ : 0%	-	♂ : 62,5% ♀ : 37,5%	-	♂ : 54,87% ♀ : 45,13%
Germes						
<i>Klebsiella pneumoniae</i>	N = 50 (49,5%)	N = 0 (4)	-	N = 2 (25%)	-	N = 34 (75%)
<i>Escherichia coli</i>	N = 42 (41,58%)	N = 1 (100%)	-	N = 6 (75%)	-	N = 41 (75%)
<i>Enterobacter cloacae</i>	N = 8 (7,92%)	N = 0	-	N = 0 (4)	-	N = 6 (4,3%)
<i>Proteus mirabilis</i>	N = 1 (0,99%)	N = 0	-	N = 0	-	N = 1 (75%)
<i>Serratia sp</i>	N = 0 (4)	N = 0	-	N = 0	-	N = 0
<i>Morganella morganii</i>	N = 0	N = 0	-	N = 0	-	N = 0
<i>Providencia sp</i>	N = 0	N = 0	-	N = 0 (4)	-	N = 0
<i>Citrobacter sp</i>	N = 0	N = 0 (4)	-	N = 0	-	N = 0
Sample						
Urine	N = 73 (72,28%)	N = 1 (100%)	-	N = 5 (62,50%)	-	N = 59 (71,95%)
Pus	N = 13 (12,87%)	N = 0	-	N = 2 (25%)	-	N = 10 (12,2%)
Blood	N = 5 (4,95%)	N = 0	-	N = 0	-	N = 3 (3,66%)
Pulmonary	N = 4 (3,96%)	N = 0	-	N = 1 (12,5%)	-	N = 4 (60%)
Swab	N = 1 (0,99%)	N = 0	-	N = 0	-	N = 1 (1,22%)
Catheter	N = 1 (0,99%)	N = 0 (4)	-	N = 0	-	N = 1 (1,22%)
Puncture fluid	N = 1 (0,99%)	N = 0	-	N = 0	-	N = 1 (1,22%)
Feces	N = 1 (0,99%)	N = 0	-	N = 0 (4)	-	N = 1 (1,22%)
Materiel	N = 2 (1,98%)	N = 0	-	N = 0	-	N = 2 (2,44%)
Chirurgical	N = 24 (23,76%)	N = 0	-	N = 1 (12,5%)	-	N = 20 (24,39%)
Outpatients	N = 17 (16,83%)	N = 0 (4)	-	N = 0	-	N = 16 (19,51%)
Medical	N = 22 (21,78%)	N = 1 (100%)	-	N = 2 (25%)	-	N = 16 (19,51%)
Reanimation	N = 7 (6,93%)	N = 0	-	N = 1 (12,5%)	-	N = 3 (3,66%)
Emergency	N = 23 (22,77%)	N = 0	-	N = 2 (25%)	-	N = 19 (23,17%)
Not assigned	N = 8 (7,92%)	N = 0	-	N = 2 (25%)	-	N = 8 (9,76%)

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222 **Discussion**

223 *Enterobacteriaceae* occupy an important place in bacterial infections, whether in hospitals or
224 in the community. They constitute one of the most important families of bacteria, which are
225 very heterogeneous in terms of pathogenesis and ecology.

226 This study was designed to investigate ESBL *enterobacteria* on a phenotypic and genotypic
227 level. The prevalence of ESBL-producing *Enterobacteriaceae* was 13.65%, which is higher
228 than those reported in other national studies [19] [20] [21]. A Chinese study found a higher rate
229 (38%) than that shown by our study [10].

230 ESBL *enterobacteria* isolates were predominant in men (57%) with a sex ratio of M/F=1.32.
231 This finding has been confirmed by several authors who reported that male gender and transfer
232 from a long-stay hospital are two risk factors significantly associated with ESBL carriage [22].

233 ESBL isolates were dominated by *K. pneumonia* and *E. coli* at equal percentages (42.2% and
234 41.9%). Our rates are higher for *K. pneumonia* than those reported in France (17%) [23] and
235 those reported in hospitals in Southern Europe (25%) [24]. They are lower for *E. coli* compared
236 to the results of studies conducted in France (\approx 67%) [25] [26] and compared to the results of
237 other studies (80% and 71%) [20] [27].

238 It was found that more than half of the samples came from urine samples. This finding was
239 confirmed by the results of a study conducted in a university hospital in Paris by Lucet et al.
240 Another study showed that 51% of ESBL *enterobacteria* were isolated from urine samples, the
241 frequency of blood samples was high (15.3%) compared to our results [2].

242 The highest rate of our ESBL isolates was found in medical departments (25.5%), our rates in
243 the different departments are close to the data of a French study which reported a frequency of
244 14% in intensive care units and 20% for patients consultants [25]. Indeed, patients hospitalized
245 in intensive care units are at greater risk of contracting ESBL, given the length of hospitalization
246 (which is generally long), the severity of the disease, the use of invasive devices (urinary
247 catheters, catheters, ventilation, intubation, etc.) and the multiple antibiotic treatments,
248 particularly with broad-spectrum cephalosporins [28].

249 The susceptibility profile of our ESBL isolates showed 100% resistance to 1st and 3rd generation
250 cephalosporins, 55% to piperacillin-tazobactam, 16% to imipenem, 24% to ertapenem, 87% to

251 fluoroquinolones, 54% to gentamicin, 7% to amikacin, 12% to mecillinam, 8% to fosfomycin,
252 and 77% to trimethoprim-sulfamethoxazole.

253 According to our study, resistance to carbapenems remains high compared to results of other
254 studies [16] [10]; the increase of ESBLs isolates and the overuse of carbapenems in many
255 countries has resulted in the emergence of resistance to these antibiotics, especially in *K.*
256 *pneumonia* [29].

257 Arpin et al. reported intermediate susceptibility or resistance to fluoroquinolones in 86% of
258 cases, resistance to gentamicin in 29%, to amikacin in 51% and to trimethoprim-
259 sulfamethoxazole in 86%. These figures remain comparable to our results with the exception
260 of amikacin (antibiotic that remains active in 93% of our cases) [30]. Ben-Ami et al, in their
261 review of the literature, had a fluoroquinolone resistance rate of over 70% [31].

262 This multidrug resistance could be explained by the fact that ESBL genes, usually carried by
263 plasmids, are often associated with resistance genes to other antibiotics, especially
264 aminoglycosides and fluoroquinolones [10]. A study conducted in Burkina Faso evaluated the
265 frequency of qnr genes (quinolone resistance gene) in ESBL *enterobacteria* isolates and
266 reported that the prevalence of the qnr-ESBL association was on average 27% [32]. Salah et al
267 confirmed in their study that of the 107 strains encoding qnr genes, 102, 96 and 52 carried CTX-
268 M, TEM and SHV type ESBL respectively [33]. Therefore, cephalosporins and
269 fluoroquinolones are not considered effective choices for the treatment of patients with ESBL-
270 producing enterobacteria [10].

271 The combination of piperacillin and tazobactam remains active in 45% of cases, and therefore
272 in these cases the use of carbapenems can be avoided. Several studies confirm the restoration
273 of piperacillin sensitivity by tazobactam [34].

274 Fosfomycin has good antimicrobial activity against ESBL (sensitivity of 92%). This result was
275 confirmed by a recent study that revealed the high efficacy of fosfomycin in the treatment of
276 ESBL urinary tract infections, especially in community environment [35].

277 Several data have reported that the number of clinical isolates producing CTX-M has been
278 steadily increasing in both hospital and community environment. TEM and SHV type ESBLs
279 are continuously monitored in different hospitals worldwide, their numbers have been
280 decreasing for many years and are increasingly replaced by CTX-M type ESBLs [36] [37].

281 Limited data from nationwide studies have shown that ESBLs are common in Moroccan
282 hospitals [19] [38] and that the CTX-M, SHV and TEM genes represent the most frequently
283 reported ESBL families in Morocco [39].

284 The results of our molecular study showed that the major mechanism of resistance of the ESBL
285 isolates studied was the production of CTX-M ESBL (95% of the isolates carried the CTX-M
286 gene). Isolates carrying more than 2 genes represented 66%, and 37% of cases were identified
287 as positive for all 3 genes. The coexistence of more than one β -lactamase gene within the same
288 isolate, as detected in our study, has also been reported in many other countries [40] [30] [41].
289 CTX-M was found alone in 32 isolates (29% of cases). These results are in agreement with
290 several data from the literature described in many European [13] and African [42] countries.

291 A study conducted in Algeria reported that the TEM gene was present in 100% of cases, while
292 the CTX-M gene was identified in 69% of cases [43], so despite the geographical proximity
293 these results remain far from those found in our study, also the data in the literature goes in the
294 direction of the emergence of CTX-M genes to the benefit of TEM and SHV genes as mentioned
295 previously.

296 Another study conducted in China reported that the CTX-M gene was identified in 126 isolates
297 or a percentage of 96%, the prevalence of TEM and SHV genes were less [10]. Al-Mayahie et
298 al reported that CTX-M ESBLs were the most frequent (69.5%), followed by SHV types (4.3%)
299 and no isolates had TEM ESBLs [16].

300 All CTX-M-BLSE positive strains were analyzed for subgroup genes by PCR assay. The results
301 showed that almost all isolates (101/105) carried CTX-M-1. Clearly, the CTX-M-1 type is the
302 most common ESBL resistance gene, as indicated by most reports. The positivity rate of our
303 CTX-M-1 isolates is higher than that reported abroad; Shu Xia et al reported a positivity rate of
304 40.7% [44] and other studies pointed in the same direction with comparable rates [10] [16].
305 Although the rate of our CTX-M-9 positive isolates is very low compared to the results of these
306 studies.

307 At the same time, the main CTX-M gene was CTX-M-15, a variant of the CTX-M-1 subgroup,
308 which is confirmed by most national [45] [46] [40] and international researches [42] [10]. This
309 result suggests that CTX-M-15 is now common in Morocco and widely distributed among
310 hospital infection patients, which is due in the first place to the wide use of β -lactam antibiotics
311 and to hand-carrying dissemination.

312 ESBL enterobacterial infections leave only a slight limited choice in therapeutic management;
313 several studies have discussed the alternatives and possibilities of antibiotic therapy in these
314 infections. Many studies reported that β -lactam- β -lactamase inhibitor combination might be a
315 reasonable option to spare carbapenems in the treatment of ESBL-producing *enterobacteria*,
316 especially in less severe infections [10]. Several other therapeutic alternatives have been
317 reported in the literature, namely temocillin, tigecycline and other combinations such as
318 Ceftolozane-Tazobactam and Ceftazidime-Avibactam.

319 Our study had two major limitations: first, the non-availability of clinical information made it
320 impossible to further analyze risk factors for ESBL infections, and second, the number of
321 isolates studied was not large enough to predict epidemiological characteristics. Overall, the
322 study was able to highlight the phenotypic (resistance to different antibiotics) and genotypic
323 characterization of clinically isolated ESBL isolates. We found that CTX-M is still the main
324 genotype of ESBL *enterobacteria* in Morocco and that the CTX-M-15 variant of the CTX-M-
325 1 group is the most common type of resistance gene.

326 **Conclusion**

327 Our study reports the occurrence of ESBL genes in pathogenic multidrug-resistant
328 *Enterobacteriaceae* from hospitalized patients and outpatients in a Moroccan hospital. These
329 isolates carried different β -lactamases and other resistance determinants. This is alarming as
330 spread of these isolates will seriously limited options for clinical treatment in future. We hope
331 that this phenotypical and molecular resistance data will help clinicians to better define the
332 empiric treatment caused by ESBL *Enterobacteriaceae* and to minimize the opportunity for
333 their clonal diffusion.

334 **Ethical Approval**

335 Moroccan law does not require ethical approval for retrospective studies based on anonymous
336 laboratory data. The study was conducted on anonymous biological samples. It does not
337 concern any personal data that could directly or indirectly identify a specific person.

338 **Author contributions**

339 All authors have reviewed the final version to be published and agreed to be accountable for all
340 aspects of the work.

341 **Concept and design:** Yassine Eddair

342 Drafting of the manuscript: Yassine Eddair, Benaissa Elmostafa

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346 Elmostafa, Elouennass Mostafa,

347 Supervision: Maleb Adil, Elouennass Mostafa,

348 **45** **Conflict of interest**

349 All authors attest presently no declarations of interest.

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